

# **Assessment and regulation of endocrine disruptors under European chemical legislations**

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# EDs and the current EU legislative framework



- **PPP Regulation (1107/2009):**

ED substance cannot be approved unless exposure negligible

- **Draft BP Regulation (COM(2009)267):**

ED substance cannot be approved unless exposure negligible

- **REACH (1907/2006):**

ED substance may be included in the list of substances subject to authorisation

# Implications of these legislative stipulations



- Serious regulatory consequences of this hazard-based property being assigned to a substance;
- Important to ensure that the “ED” identifier is applied in a justified and discriminatory manner

# EDs: available definitions

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- Kavlock, 1996
- NRDC, 1998
- Weybridge, 1996
- **WHO/IPCS, 2002:**  
“An endocrine disrupter is an exogenous substance or mixture that alters function(s) of the endocrine system and consequently causes adverse effects in an intact organism, or its progeny or (sub)populations”

# UK proposal



- **WHO/IPCS definition: starting point**

Still very broad description not able to discriminate between EDs of regulatory concern and EDs of low priority

- **Further elaboration for regulatory use and application**

Add criteria of regulatory importance

# WHO/IPCS (2002) ED definition – 2 key elements



- **Adverse effects via endocrine perturbation**

Endocrine perturbation resulting in pathology or functional impairment

- **Adverse effects observed in an intact organism**

Whole animal observations

# Additional criteria of regulatory importance



**(1) Adverse effects raising a concern for ED to have been seen in one or more toxicity studies of acceptable quality:**

- Relevant routes of exposures;
- Follow general scientific principles in design, conduct and reporting;
- Appropriate statistics

# Additional criteria of regulatory importance



**(2) Adverse effects raising a concern for ED to be the lead toxic effect in the dataset or occurring at a dose level close to that at which the lead toxic effect was first seen:**

- Most sensitive effect; or
- Occurring in the same dose range (up to 3-fold the dose of the most sensitive effect) producing other significant/major effects



# Additional criteria of regulatory importance



## (3) Potency considerations – serious adverse effects raising a concern for ED to have been produced at relevant dose levels:

Serious adverse effects  $\leq$  STOT-RE Category 2 guidance values of the CLP Regulation

	Sub-acute	Subchronic	Chronic
Oral	300 mg/kg bw/day	100 mg/kg bw/day	50 mg/kg bw/day
Dermal	600 mg/kg bw/day	200 mg/kg bw/day	100 mg/kg bw/day
Inhalation (vapour)	3 mg/l/6h/day	1 mg/l/6h/day	0.5 mg/l/6h/day
Inhalation (dust/mist/fume)	0.6 mg/l/6h/day	0.2 mg/l/6h/day	0.1 mg/l/6h/day

# Additional criteria of regulatory importance



## **(4) A mode-of action link between the adverse effects and endocrine disruption to have been established:**

- In vitro and in vivo screening assays (level 2 to 4) of the OECD conceptual framework for testing and assessment of EDs;
- Other more ad-hoc mechanistic studies;
- If such studies not available, should be requested

# Additional criteria of regulatory importance



## **(5) The effects seen in experimental animals to be of potential relevance to human health:**

- Use IPCS human relevance framework for robust and transparent conclusion;
- If no information, assume relevance

# OECD conceptual framework for the testing and assessment of EDs

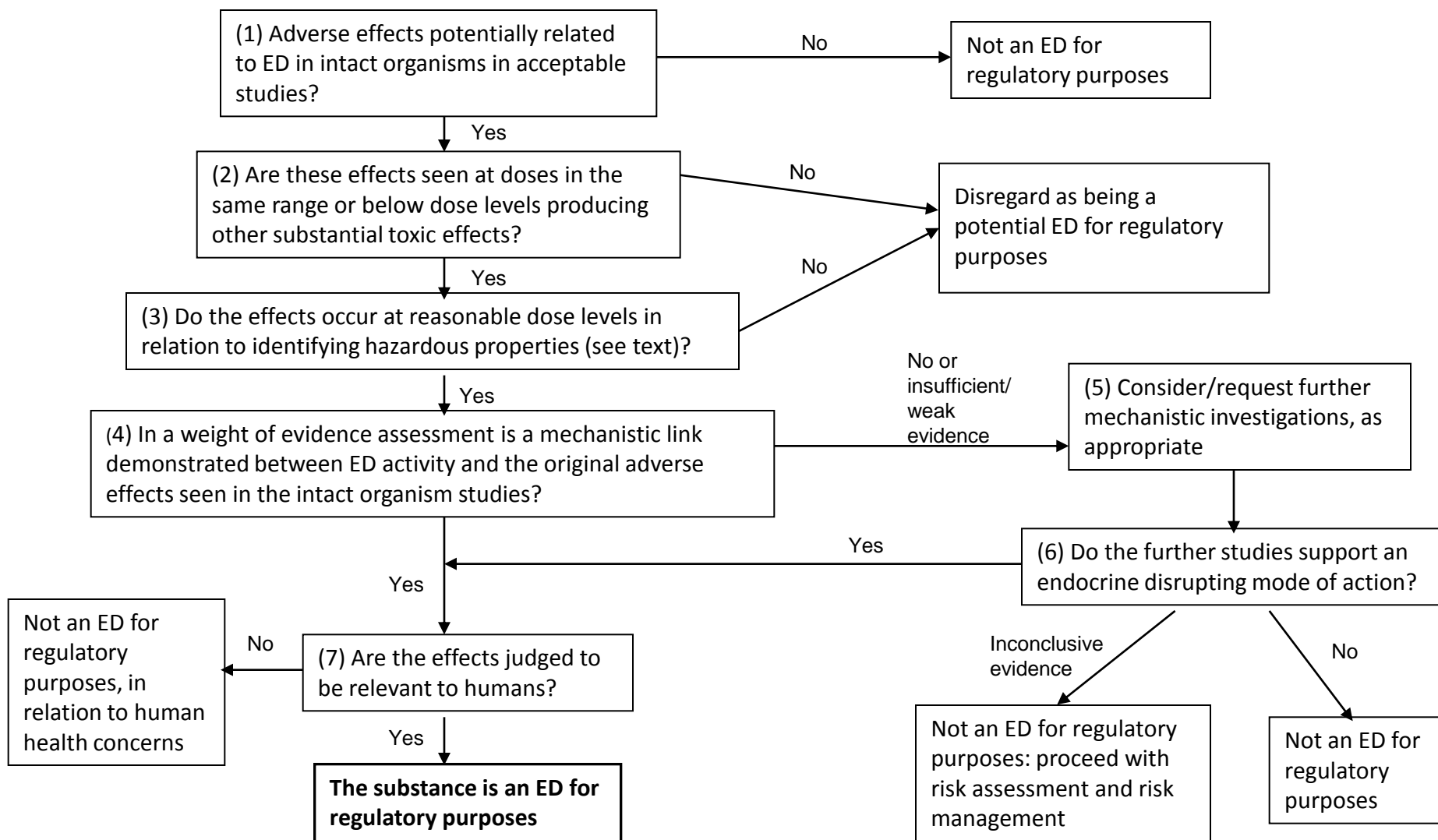


<b>Level 1</b> Sorting & prioritization based upon existing information	<ul style="list-style-type: none"> <li>-physical &amp; chemical properties, e.g., MW, reactivity, volatility,</li> <li>-biodegradability,</li> <li>- human &amp; environmental exposure, e.g., production volume, release, use patterns</li> <li>- hazard, e.g., available toxicological data</li> </ul>	
<b>Level 2</b> <i>In vitro</i> assays providing mechanistic data	<ul style="list-style-type: none"> <li>- ER, AR, TR receptor binding affinity</li> <li>- Transcriptional activation</li> <li>- Aromatase and steroidogenesis <i>in vitro</i></li> <li>- Aryl hydrocarbon receptor recognition/binding</li> <li>- QSARs</li> </ul>	<ul style="list-style-type: none"> <li>-High Through Put Prescreens</li> <li>- Thyroid function</li> <li>- Fish hepatocyte VTG assay</li> <li>- Others (as appropriate)</li> </ul>
<b>Level 3</b> <i>In vivo</i> assays providing data about single endocrine Mechanisms and effects	<ul style="list-style-type: none"> <li>- Uterotrophic assay (estrogenic related)</li> <li>- Hershberger assay (androgenic related)</li> <li>- Non -receptor mediated hormone function</li> <li>- Others (e.g. thyroid)</li> </ul>	<ul style="list-style-type: none"> <li>- Fish VTG (vitellogenin) assay (estrogenic related)</li> </ul>
<b>Level 4</b> <i>In vivo</i> assays providing data about multiple endocrine Mechanisms and effects	<ul style="list-style-type: none"> <li>- enhanced OECD 407 (endpoints based on endocrine mechanisms)</li> <li>- male and female pubertal assays</li> <li>- adult intact male assay</li> </ul>	<ul style="list-style-type: none"> <li>-Fish gonadal histopathology assay</li> <li>- Frog metamorphosis assay</li> </ul>
<b>Level 5</b> <i>In vivo</i> assays providing data on effects from endocrine & other mechanisms	<ul style="list-style-type: none"> <li>- 1-generation assay (TG415 enhanced)</li> <li>- 2-generation assay (TG416 enhanced)</li> <li>- reproductive screening test (TG421)</li> <li>- combined 28 day/reproduction screening (TG 422 enhanced)<sup>1</sup></li> </ul>	<ul style="list-style-type: none"> <li>-Partial and full life cycle assays in fish, birds, amphibians &amp; invertebrates (developmental and reproduction)</li> </ul>

# OECD ED Level 2 - 4 assays

	Assay	Information provided
<b>Level 2</b> In vitro assays providing mechanistic data	<ul style="list-style-type: none"><li>-ER, AR, TR receptor binding affinity</li><li>- Transcriptional activation</li><li>- Aromatase and steroidogenesis in vitro</li><li>-AhR receptor binding</li></ul>	<ul style="list-style-type: none"><li>-Binding to oestrogen, androgen and thyroid receptor</li><li>- Alterations in the synthesis of sex steroid hormones</li><li>- Inhibition of aromatase</li></ul>
<b>Level 3</b> In vivo assays providing data about single endocrine mechanisms and effects	<ul style="list-style-type: none"><li>-Uterotrophic assay</li><li>- Hershberger assay</li><li>- Others (thyroid)</li></ul>	<ul style="list-style-type: none"><li>- Oestrogenic, androgenic, anti-androgenic and anti-thyroid activity</li></ul>
<b>Level 4</b> In vivo assays providing data about multiple endocrine mechanisms and effects	<ul style="list-style-type: none"><li>-Enhanced OECD 407</li><li>- Male and female pubertal assays</li><li>- Adult intact male assay</li></ul>	<ul style="list-style-type: none"><li>- Alterations in pubertal development via changes in gonadotrophins, prolactin or hypothalamic function</li></ul>

# Decision tree to establish whether substance is an ED for regulatory purposes in relation to human health



# Case study: vinclozolin

## Application of the decision tree (1)



### **(1) Adverse effects potentially related to ED in intact organisms?**

Yes, V causes Leydig cell tumours, atrophy of accessory sex glands, malformations in the male urogenital tract, feminisation, uterine and ovary tumours;

### **(2) Most sensitive/lead effect?**

Yes;

### **(3) Relevant dose levels?**

Yes, 100% hypospadias and 100% nipple retention at 100 mg/kg bw/day in dev tox studies < STOT-RE 2 of 300 mg/kg bw/day;

### **(4) Endocrine mechanistic link?**

Yes, V binds to the androgen receptor and causes inhibition of transcription of androgen-dependent genes. Positive results in AR binding affinity and transcriptional activation tests in vitro and in the Hershberger assay and male pubertal assay in vivo

# Case study: vinclozolin

## Application of the decision tree (2)



### **(5,6) Consider further mechanistic investigations, as appropriate**

No further testing is necessary – there is sufficient evidence for a robust conclusion;

### **(7) Are the effects relevant to humans?**

Yes – the toxicokinetic and toxicodynamics of V are expected to be similar in rats and humans

**Vinclozolin is an ED for regulatory purposes, in relation to human health**



# Case study: 1,3-DNB

## Application of the decision tree (1)



### **(1) Adverse effects potentially related to ED in intact organisms?**

Yes, 1,3-DNB causes testicular toxicity in the adult rat;

### **(2) Most sensitive/lead effect?**

Yes, testicular toxicity occurs in the same dose range as other toxic effects (haematological effects and decreased body weights);

### **(3) Relevant dose levels?**

Yes, testes atrophy from 6.6 mg/kg bw/day in 8-wk study < STOT-RE 2 of 100 mg/kg bw/day;

### **(4) Endocrine mechanistic link?**

No, 1,3-DNB is negative in the steroidogenesis assay, has no androgen or oestrogen binding activity (QSAR); also no changes in serum LH, FSH and prolactin in vivo

# Case study: 1,3-DNB

## Application of the decision tree (2)



**(5,6) Consider further mechanistic investigations, as appropriate**

No further testing is necessary – there is sufficient evidence for a robust conclusion;

**1,3-DNB is not an ED for regulatory purposes, in relation to human health**

# What is now happening with the UK proposal?



- Been endorsed by COT
- Have compared it to those of others, e.g. BfR, ECETOC;
- Have sent out on limited targetted consultation;
- Triazoles exercise in relation to PPPs;
- Continue to debate and reflect on during Autumn-Winter 2010